

FAST

Assessment and Evaluation of Fully Automated Source-code-based Testing Strategies

Data Systems in Aerospace DASIA 2013

May 16th, 2013, Porto, Portugal

ESA Contract No. 4000102645

BSSE Team: Rainer Gerlich, Ralf Gerlich, Thomas Boll
DNV Team: Bengt Solheimdal Johansen, Kenneth Kvinnesland
ESA Technical Officer: Marek Prochazka

Dr. Rainer Gerlich BSSE
Rainer.Gerlich@bsse.biz
Ralf.Gerlich@bsse.biz

ESA/ESTEC
Marek.Prochazka@esa.int

Det Norske Veritas AS (DNV)
Kenneth.Kvinnesland@dnv.com
Bengt.Solheimdal.Johansen@dnv.com



- **The FAST Process**

Fully / Flow-optimised Automated, Source-code-based Testing

- **Verification Challenges and Findings**

- **Conclusions**

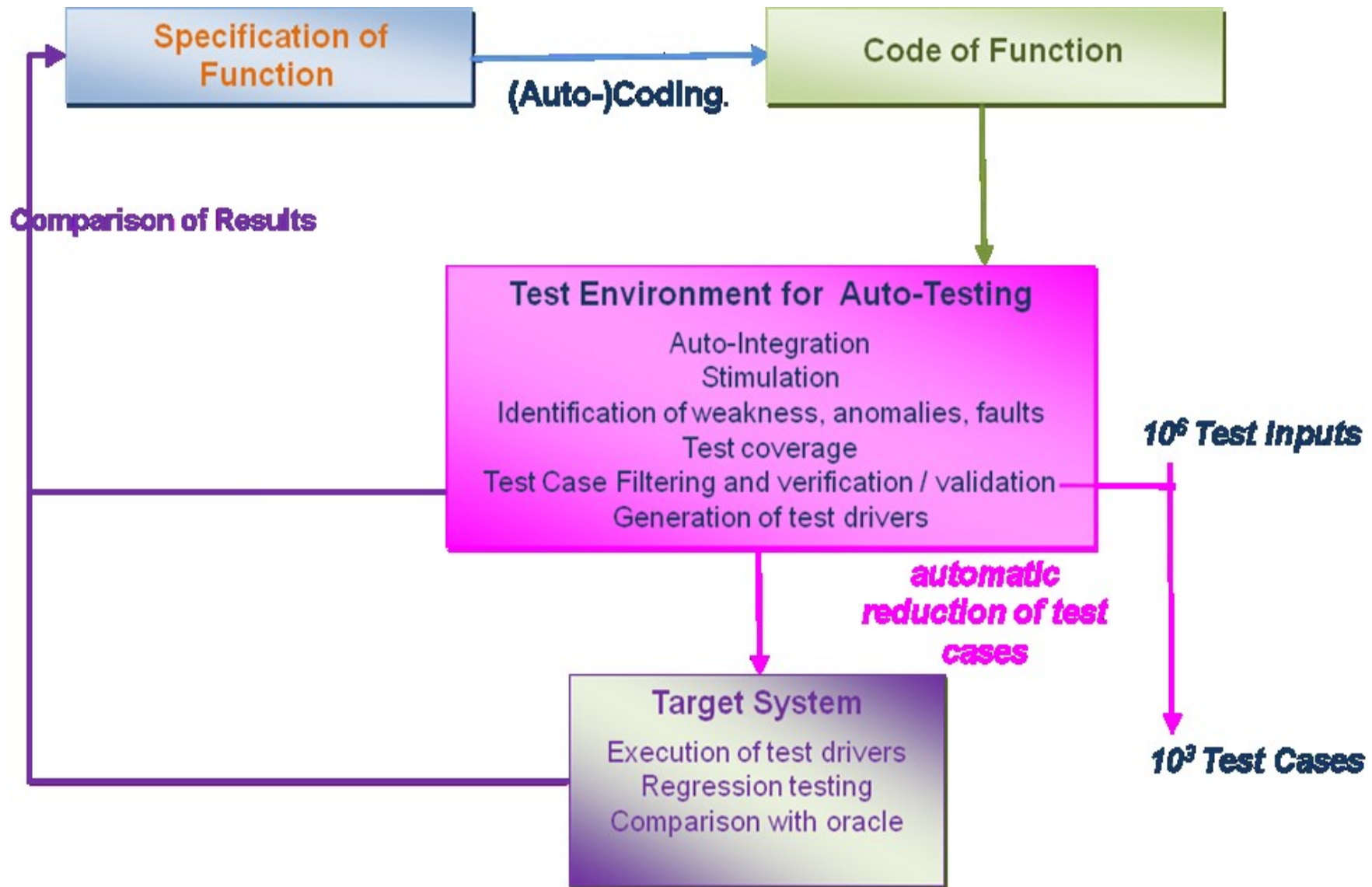
The FAST Process



DNV

The FAST Test Process

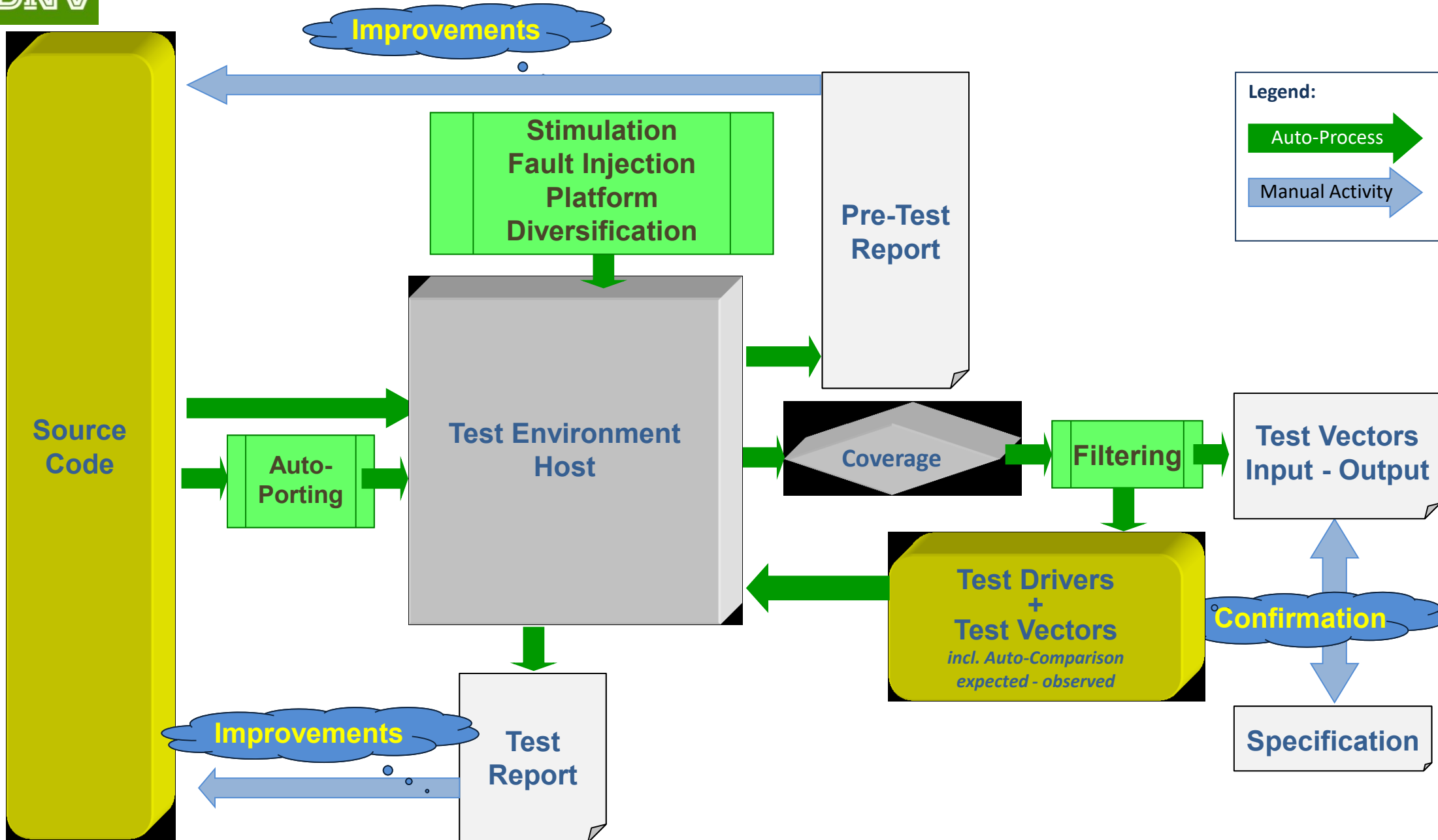
Principal Flow





The FAST Test Process

Detailed Flow



The Application Software



■ Earth Observation Satellite

assumed not to contain any further critical defects

tested according to ECSS E-40 and Q-80, version B and ISVV Guide

■ Characteristics

❖ Set 1: mission-critical (subset)

anomalous behaviour would cause or contribute to a failure of the satellite system resulting in permanent and/or non-recoverable loss of the satellite's capability to perform its planned mission

❖ >1500 functions

❖ 65 KLOC

❖ Set 2: full set

❖ >3000 functions,

❖ 165 KLOC

Verification Challenges and Findings



Findings

DNV

Category	Description	#Findings	Status	
I	Findings directly related to observation of an anomaly / analysis required	2	update requested	
		12	non-critical, either in the current version or in general	
II	Findings identified during analysis of a reported anomaly	11		
III	Findings identified due to use of different utilities (diversification) / no analysis required	DCRTT support utilities		16
		Compiler/Linker		11
IV	Findings identified by comparison of expected and observed values	(n/a)		
Total		52		



Finding Examples

DNV

```
#define QUEUE_SIZE      10
#define BUFFER_SIZE    1024

typedef struct TyQueue {
    unsigned int start;
    unsigned int len;
} TyQueue;

unsigned int head=0;
unsigned int tail=0;
unsigned int next=0;
bool        full=FALSE;
TyQueue     queue[QUEUE];
char        *buffer[BUFFER_SIZE];

void storeQueue(char *buff, unsigned int len){
    if (head != tail || full==TRUE)
        next = queue[head-1].start +
                queue[head-1].len;
    if ((next + len) < BUFFER_SIZE){
        queue[head].start=next;
        queue[head].len  =len;
        memcpy(buffer+next,buff,len);
        head++;
        if (head >= QUEUE_SIZE)
            head=0;
        if (head == tail)
            full=TRUE;
    }
}
```

Initially:

head == tail == 0 && full == FALSE

Next steps:

head > 0

Wrap-around:

head == 0 && full == TRUE

while possibly still tail == 0

access intended to

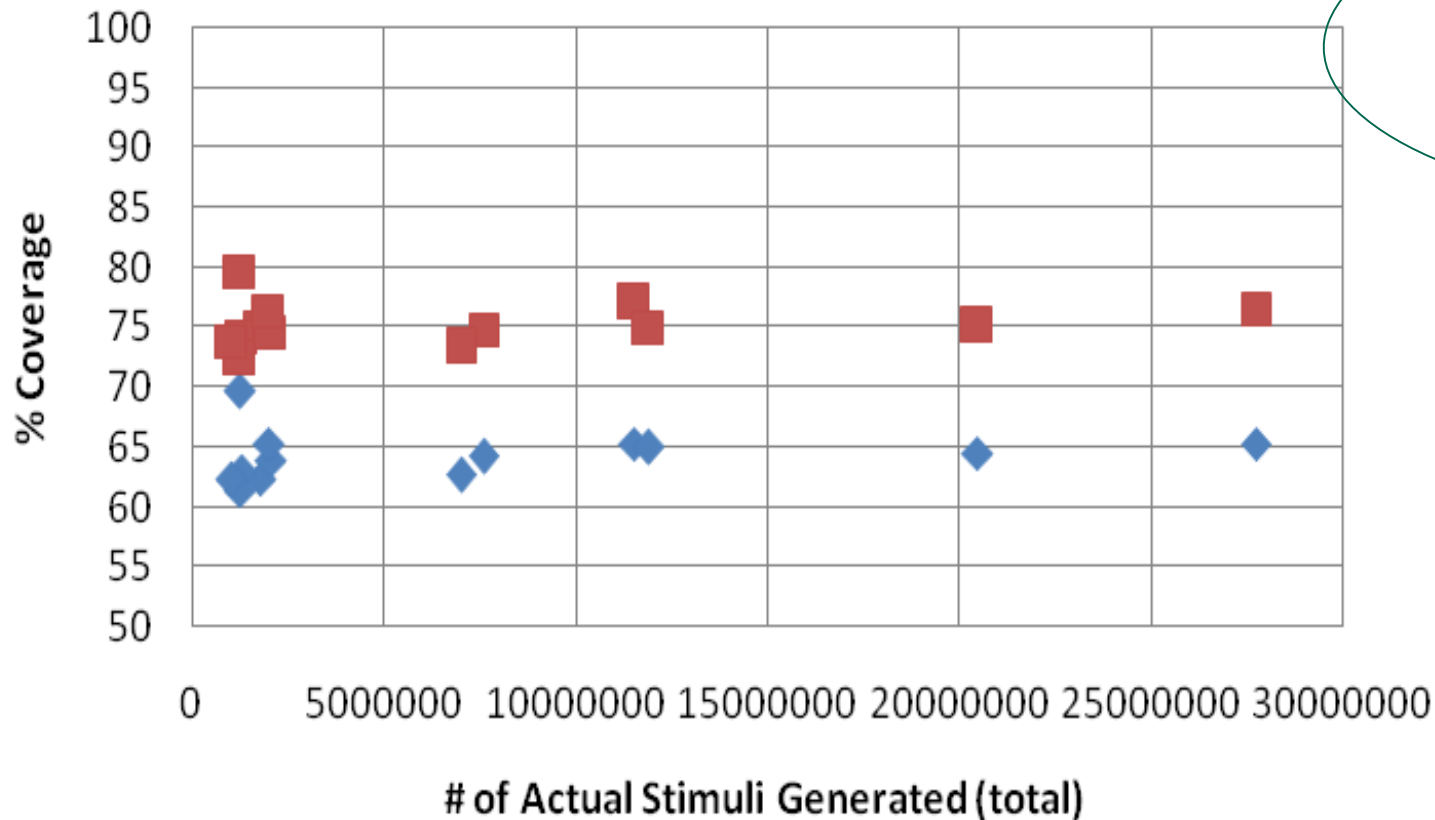
`queue[QUEUE_SIZE-1]`



Coverage Stimuli Dependency

DNV

Coverage vs. Total Stimuli



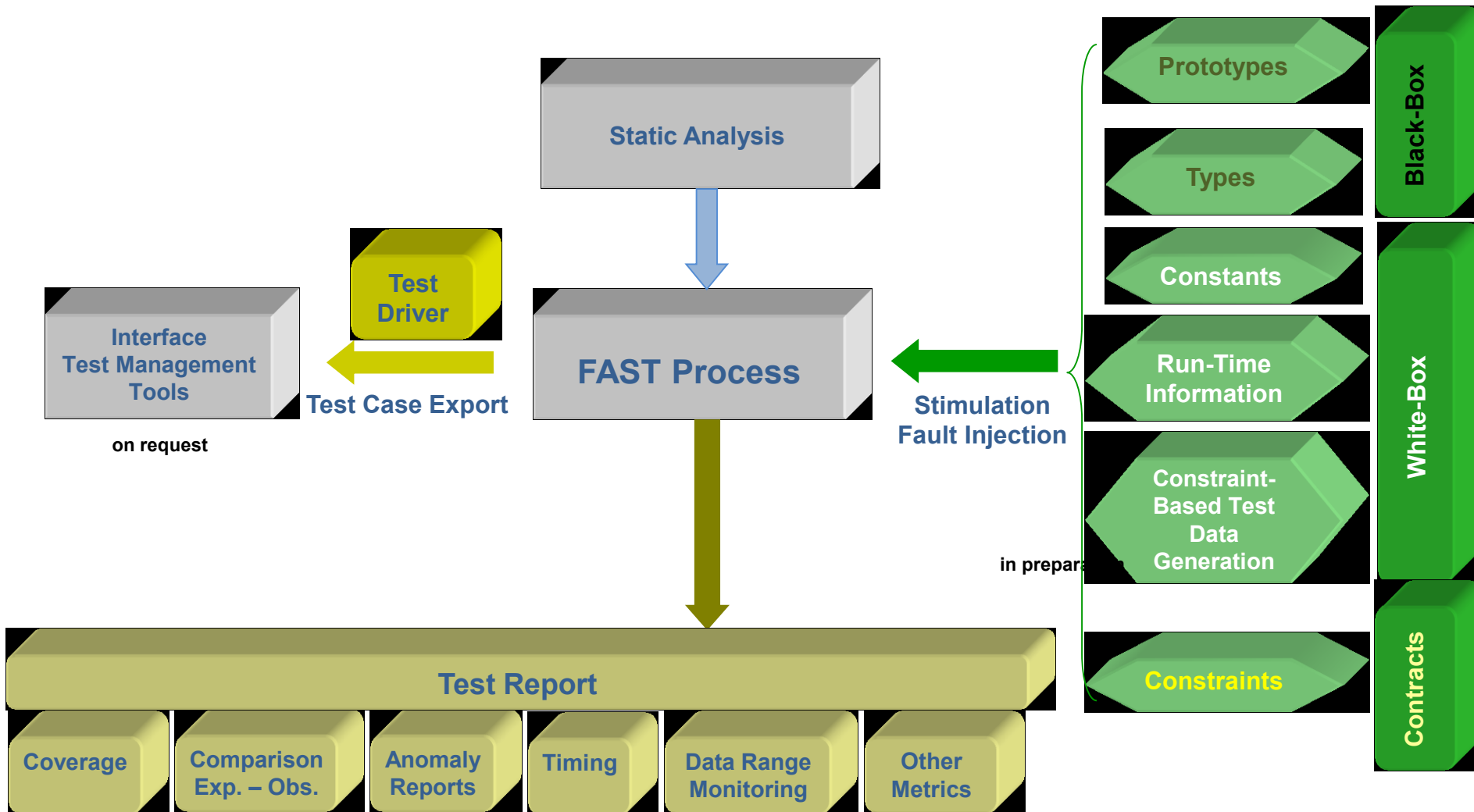
A block is a set of *statement sequences* which are executed one after the other once the first statement has been executed.

- ◆ Block Coverage Global
- MC/DC (true or false)

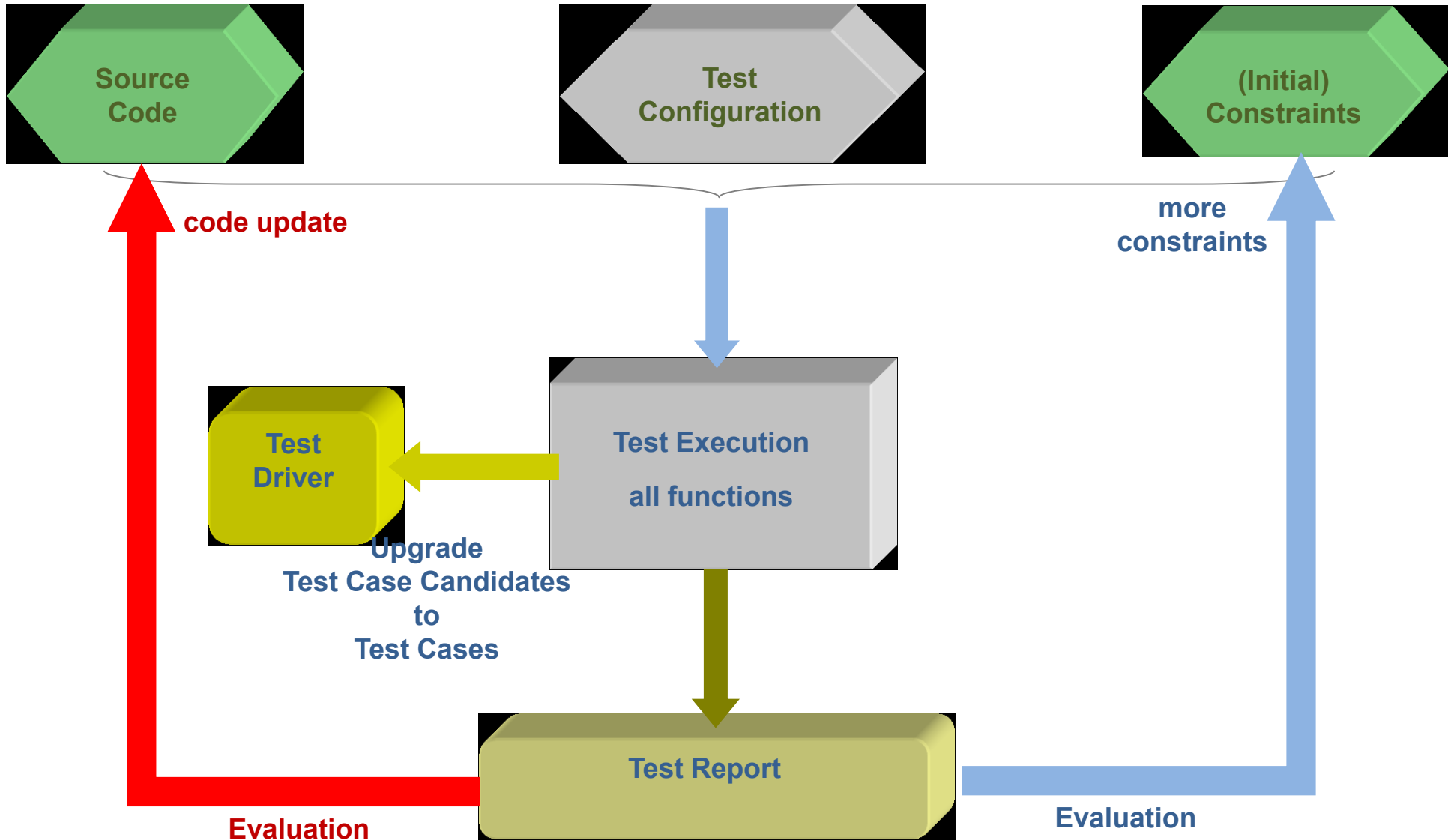
A small number is sufficient to start with anomaly analysis
Moderate increase of coverage with number of stimuli

Tool Interfaces

Interfaces of the FAST Test Process



From Test Preparation to Result Evaluation

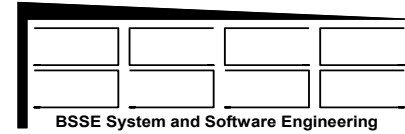


Conclusions

- **L1**
 - ❖ Since the theoretical number of input combinations may be very large, the tool may not select the particular input combination necessary to exercise specific parts.
- **L2**
 - ❖ Coverage of requirements is not considered in test data generation
 - ❖ Additional test cases typically need to be added manually
- **L3**
 - ❖ Unknown “Design by Contract” may lead to false positives
 - ❖ Have to be manually removed by introduction of (few) constraints
- **L4**
 - ❖ Tool not yet qualified
 - ❖ Interface to qualified test management tools would remove this limitation



DNV



Advantages

- **A1**
 - ❖ Automatically generated unit test suite providing high structural coverage
 - ❖ Amount of coverage depends on code structure
- **A2**
 - ❖ Large amount of stimuli exposes S/W to inputs normally not considered
 - ❖ Reduced impact of engineer's bias, additional validation element
- **A3**
 - ❖ Assumed to increase path coverage over usual coverage requirements
 - ❖ Measurement of basis path coverage in tool available
- **A4**
 - ❖ Multiple levels of software are tested together instead of test in isolation
 - ❖ No classical integration test, but useful in finding problems
- **A5**
 - ❖ Can reveal complex programming style problems
 - ❖ Can find defects that cannot be found by rule-based static analysers

- Apply static analysis tool to remove as many poor coding practices as possible
- Apply DCRTT tool
- Investigate issues reported by DCRTT as potential errors
- Review auto-generated test suite using objectives from e.g. ECSS E-40 or DO178 regarding:
 - ❖ requirement coverage,
 - ❖ additional robustness issues.
 - ❖ This should give you the structural coverage, as well.
- Add test cases manually based on the output from the review

- Apply DCRTT tool as an alternative or in addition to static analysers.
- It's important to be as complementary to the supplier's process as possible.

The FAST team would like to thank

- the ESA project which provided sources of its flight software,
- SciSys for providing QERx,
- EADS Astrium for providing the RTEMS Product,
- the German and Norwegian national space agencies for funding the activity.

Thank you for your attention!

Questions?



Finding Examples

DNV

file1.h

```
typedef struct TyCmdDescr{
    unsigned int    cmd;
    unsigned int    minLen;
    unsigned int    maxLen;
} TyCmdDescr;
TyCmdDescr cmdDescr[ ];
```

file1.c

```
#include "file1.h"
#include "file2.h"
TyCmdDescr cmdDescr[ ]={{cmd1, 3, 10}, ... };
void recvCmd(char *cmdData, unsigned int offset, unsigned int entry) {
    unsigned int len;
    memcpy(&len, cmdData+offset, sizeof(unsigned int));
    if (len >= cmdDescr[entry].minLen)
        execCmd(cmdData, offset);
}
```

file2.h

```
void execCmd(char *cmdData, unsigned int offset);
```

file2.c

```
#include "file2.h"
char buffer[10000];
void execCmd(char *cmdData, unsigned int offset){
    unsigned int len;
    memcpy(&len, cmdData+offset, sizeof(unsigned int));
    memcpy(buffer, cmdData+offset+ sizeof(unsigned int), len-3);
}
```

implicit dependency
between two different, independent entities

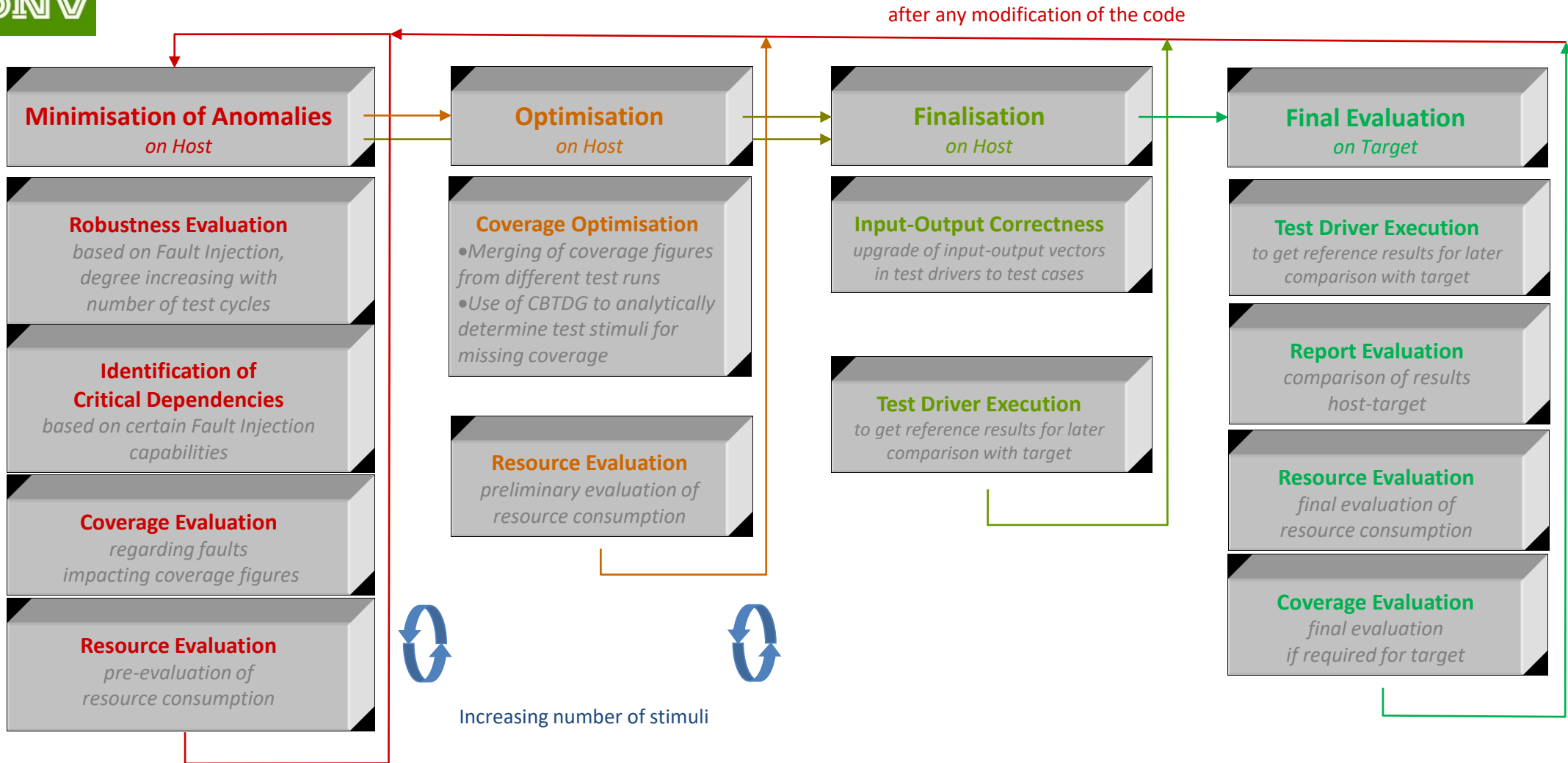
check on length

4 GB corruption !



The FAST Test Process

Flow of Test Steps



Iterations over FI modes and modifications of the code

Iterations to improve coverage and resource consumption requiring modifications of the code

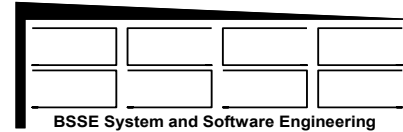
Iterations to achieve compliance between specification and implementation requiring modifications of the code

Iterations to achieve compliance between specification and implementation requiring modifications of the code

The Project and the Application Software



Project Goals

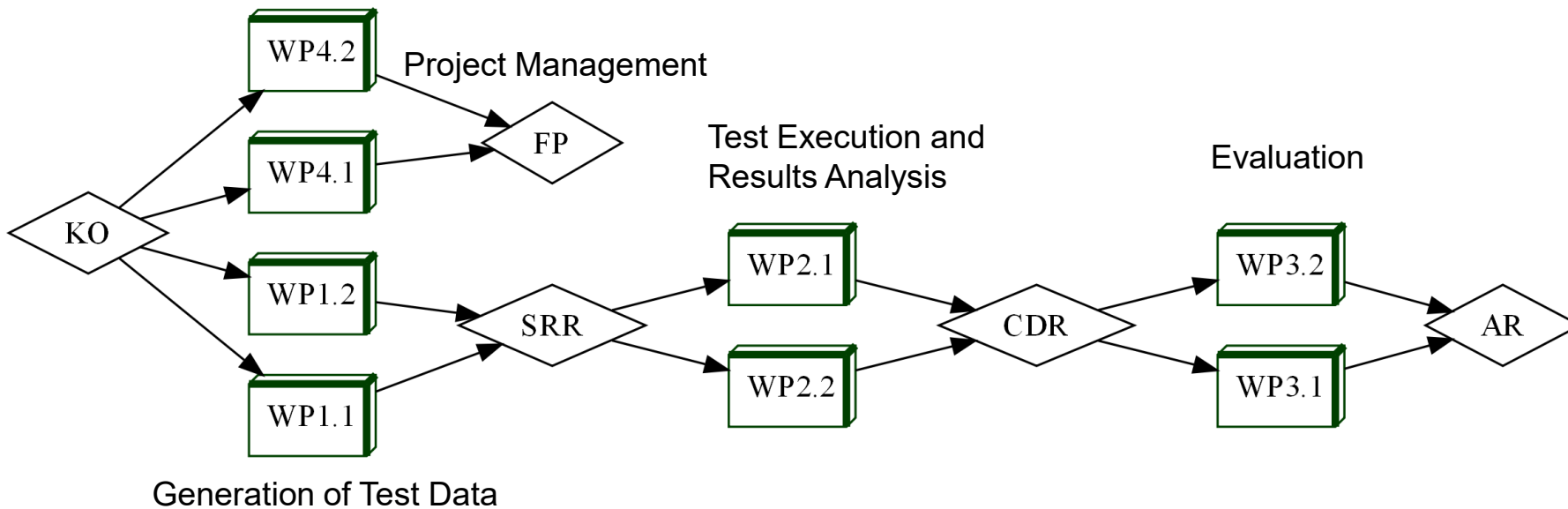


DNV

- Objective 1
Perform an assessment of the fully automated, source-code-based testing (FAST) by applying it on realistic spacecraft flight software.
- Objective 2
Evaluate applicability and scalability of the approach in the space domain, with focus on efficiency and effectiveness in spacecraft flight software as a whole or some of its subsystems.
- Objective 3
Evaluate source-code-based testing for fault identification sensitivity, testing coverage, cost efficiency, and usability both in nominal validation and Independent Software Verification and Validation (ISVV).
- Objective 4
Prepare and disseminate a set of guidelines and recommendations for the automated source-code-based testing process, and put it in the context of the ESA software development process.

Team

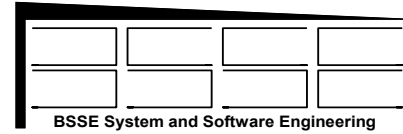
- BSSE, prime
 - experience with the FAST process
 - demonstration of capabilities of BSSE tool “DCRTT”,
- DNV
 - experience in testing and verification
 - experience in ISVV



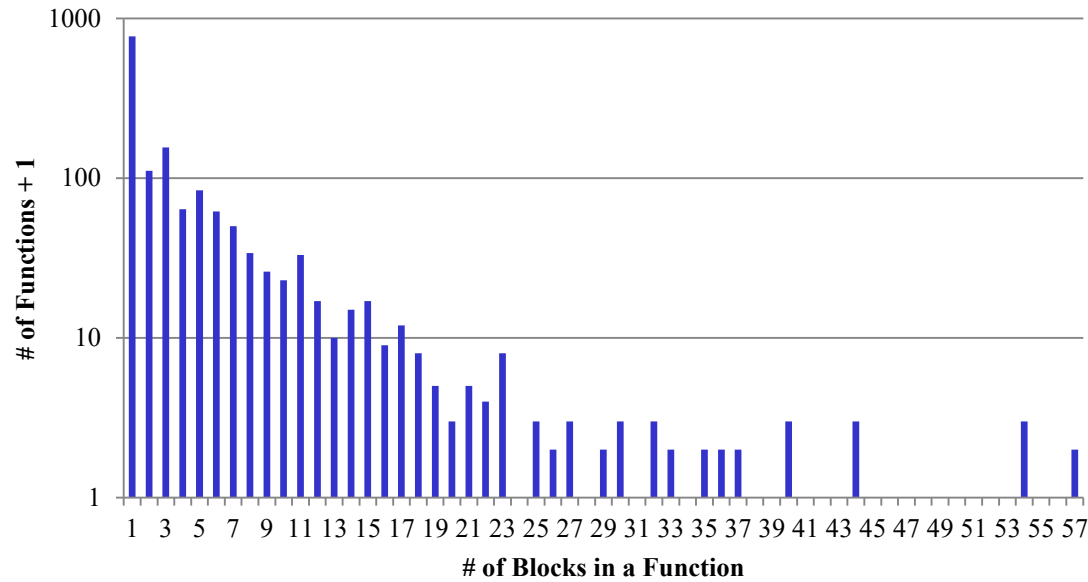


DNV

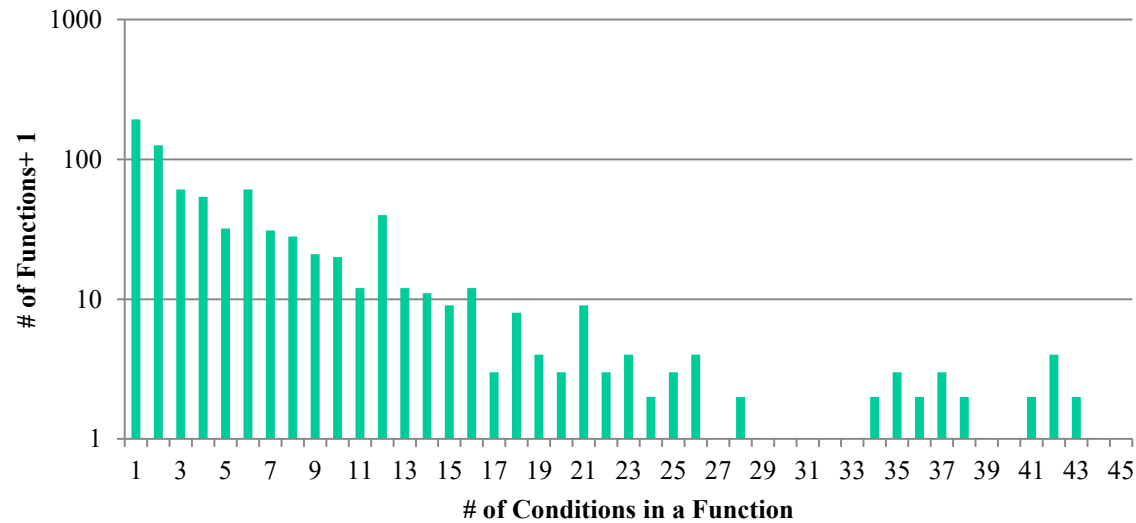
Histograms on Blocks and Conditions



Functions vs. Block Count



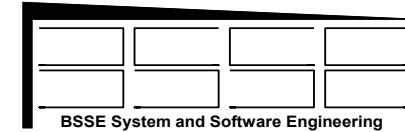
Functions vs. Decision Count





Verification Issues

DNV



Verification Issue	Done	Comment
Robustness	✓	Injection of invalid inputs, modification of return values, stimulation of const-elements, overriding of initialisers
Resource Consumption		Figures were recorded, but not evaluated
Critical Dependencies	✓	
Internal Interfaces	✓	Injection of invalid inputs, modification of return values, stimulation of const-elements, overriding of initialisers
Code – Data Interfaces	✓	Injection of invalid inputs, modification of return values, stimulation of const-elements, overriding of initialisers
Platform Dependencies	✓	Different gcc-compiler versions
Reachability of Code	✓	Deadcode in context of anomaly analysis Coverage figures
Input – Output Correctness		No references available



Finding Examples (1 of 4)

DNV

```
memcpy(dest,src,para-3);
```

What if para < 3 ?

Nothing copied at all ?

Nearly 4 GB copied !

High fault potential, if activated !

Check if relevant

```
for (i=0;i<limit;i+=para)
```

What if para == 0 ?

Endless loop !

High fault potential, if activated !

Check whether of relevance !

file1.c

```
#define MYLIT 999
```

file2.c

```
typedef enum{ myLit=999} TyLit;
```

What if value is changed ?

Maintenance !

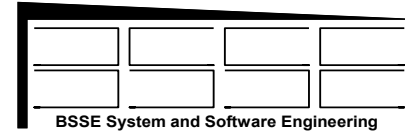
Inconsistency !

Dependency known ?

Which part needs to be re-tested ?



DNV



Finding Examples (3 of 4)

```
int myCopyFunc(const int * const src, const int * const dest, unsigned int len);
```

library function: body is hidden

```
int myCopyFunc(const int * const src, const int * const dest, unsigned int len) {  
    /* something else */  
  
    memcpy(dest, src, len);  
  
    /* something else */  
    return <value>;  
}
```

Not issued: passing arg 1 of `memcpy' discards qualifiers from pointer target type

Found by analysis of an anomaly

```
common.h  
typedef enum { ... } TyEnum1;  
typedef enum { ... } TyEnum2;  
  
prototypes.h:  
#include "common.h"  
extern void func1(TyEnum1 para1);  
extern void func2(TyEnum2 para1);
```

```
bodies.c:  
#include "common.h"  
#include "prototypes.h"  
  
void func1(TyEnum1 para1) { ... }  
void func2(TyEnum1 para1) { ... }
```

gcc 3.2.3: no message issued

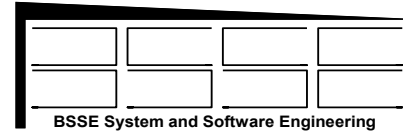
gcc 3.4.5: message issued

Found by platform diversification / porting



DNV

False Alarms Issues



- **What is a false alarm?**
 - ❖ violation of a rule without having fault impact?
 - ❖ identification of fault potential without having fault impact in the current version?
 - ❖ request for confirmation of fulfilment of a pre- or post-condition?
- **How are alarms raised in case of FAST / DCRTT?**
 - ❖ by analysis of required conditions for building an executable
 - ❖ by raising an anomaly at run-time (exception, abort, timeout)
 - ❖ by missing coverage identified at post-run-time
 - ❖ by code analysis in context of an observed anomaly
- **Required: clear rules for assessment**
 - ❖ How to deal with “design-by-contract”?
 - ❖ What are the demands on robustness?



Constraints and Initialisation

DNV

```
int myFunc(int ind, int len, char *buffer);
```

```
PARA const 7 =< elem len =< size buffer ; const 5 =< elem ind < elem len ;
```

Constraint Set

Atomic Constraint

Atomic Constraint

```
STRUCT TyMyStruct const 7 =< elem len <= size buffer; const 5 =< elem ind < elem len ;
```

```
typedef struct TyMyStruct {
    int ind;
    int len;
    char *buffer;
} TyMyStruct;

int myFunc(TyMyStruct para1);
```

Constraint Sets	Atomic Constraints	Instances	Reduction
28	52	1328	25.5

Initialisation Patterns

cond	case	filesToLook	funcsToLook	void return	void paraList	filesToTest	funcsToTest
u	c	file1.c	func1initialise	*	void	error_manager.c	*
u	c	file2.c	func2_initialise	*	void	start_up.c	*
c	n	*.c	*init*	*	void	*.c	*



Anomaly Identification



Anom. Type	Checkpt. Type	Critical Function	Id Critical Function	Block Id	Cond. Id	Test Id FUT Id	#Events
Excp	Cond	Func1	428	13	1	428	1
Excp	Block	Func2	464	1	none reached	464	51
Excp	Block	Func3	605	0	none reached	607	26
						608	26
						609	26
						610	26
						611	26
						612	26

6 anomalies reported,
but all have the same source 605



Anomaly Identification / Extended – Index Monitoring

DNV

Anom. Type	Critical Function	Index Id	Dim. Index	Min/Max Observed	Index Expr.	Test Id FUT Id	#Event s
OutOfRange Low	Func1	2523	0	min=-1<0	idx1	232	2284
						236	2284
OutOfRange Low	Func2	2524	0	min=-1<0	idx2-1	232	2284
OutOfRange High	Func3	2844	2	0	idx3	281	2339
						275	2339

2 anomalies reported each for functions with test id 232 and 281

Expr	Type	Violation	Function	File
idx1	low	min= -1 < 0	func1	file1.c
idx2-1	low	min= -1 < 0	func1	file1.c
idx3	high	max=965 > 27	func2	file2.c

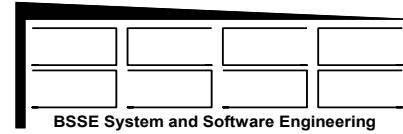
Compressed information:
List on Critical Indices

Results



DNV

Test Runs



■ Host and target platform

FAST: most activities on host, test drivers / regression tests on target

■ Sensitivity Analysis for the Process

❖ stimuli per function: 50, 300, 3000, 10000

resulting in a total number of stimuli for all functions

1 .. 27 millions

❖ stimulation of parameters

function parameters, optional global data directly accessed by a function

❖ fault injection

➤ invalid stimuli (input), NULL pointer

➤ invalid return (modification of return), NULL pointer

➤ assign to const-elements

➤ blanking (0's) of initialised data

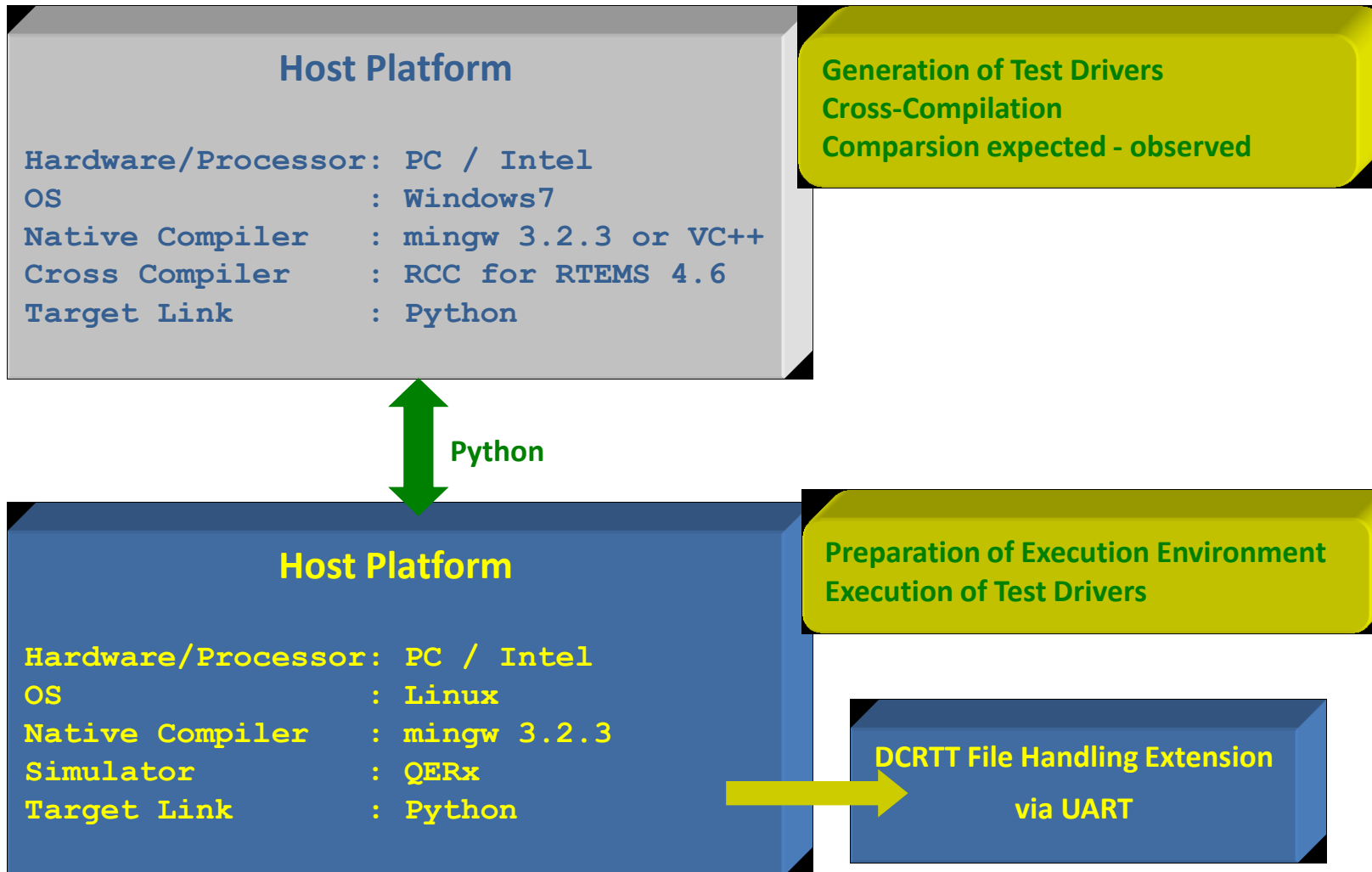
■ Complementing the application software

❖ generation of stubs for missing function bodies



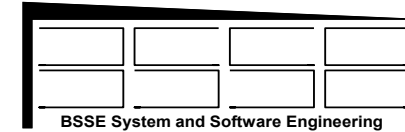
Platforms

DNV





Performance / Build



DNV

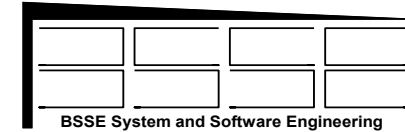
Step	Duration / s	Comment	
Preparation phase	~4000	It covers all analysis activities performed before the first test of a FUT is started	for all 84 files and 1530 functions under test
	150		for two files under test, 21 functions
Test Build Phase	a few seconds	Generation of all files required to run a test for a FUT	
Test of a FUT	45	50 stimuli, one function, including execution of the test drivers on host and target and generation of part of inputs for documentation, of course, this figure depends on a FUT	50 stimuli
	980		1 Mio. stimuli
Complete test run	1900	stimulation, identification of test drivers, test driver execution on host and target, test report generation	1.1 Mio. stimuli, 2 files, 21 functions
	170		one of the 21 FUTs took 980 seconds (1 Mio. Stimuli), i.e. 50% of the overall time
			200 stimuli, 1 file, 1 function



Step	Duration / s	Comment
Test Driver Generation	~10 - 60	Time to generate a test driver derived from the total test duration (col. 1 in Tab. 5-6) and the number of suggested TC (col. 5). The time to create the source code is significantly smaller and is in the range of a few seconds only.
Generation of png-files	~1860	8163 png-files for documentation (in preview and full size, 16326 in total) to document structural coverage of 1530 functions (per function and every filtered TC, i.e. differential structural coverage for every test driver)
Test report	~5400	~1½ hour for all supported evaluation facilities, 84 files and 1530 functions under test
	130	2 files, 21 functions



Performance (1530 Functions)



DNV

#Injected Stimuli per FUT	# Injected Stimuli in total	Duration / h	Comment
700	1 Mio.	17	without target execution
1200	1.8 Mio	26	
5000	7.6 Mio	41	
13500	20.5 Mio	88	
18000	27.8 Mio	129	
700	1 Mio.	32	with target execution index checking and basic path coverage

Host:

i5-2400@3.1GHz

4 GB RAM

Windows7

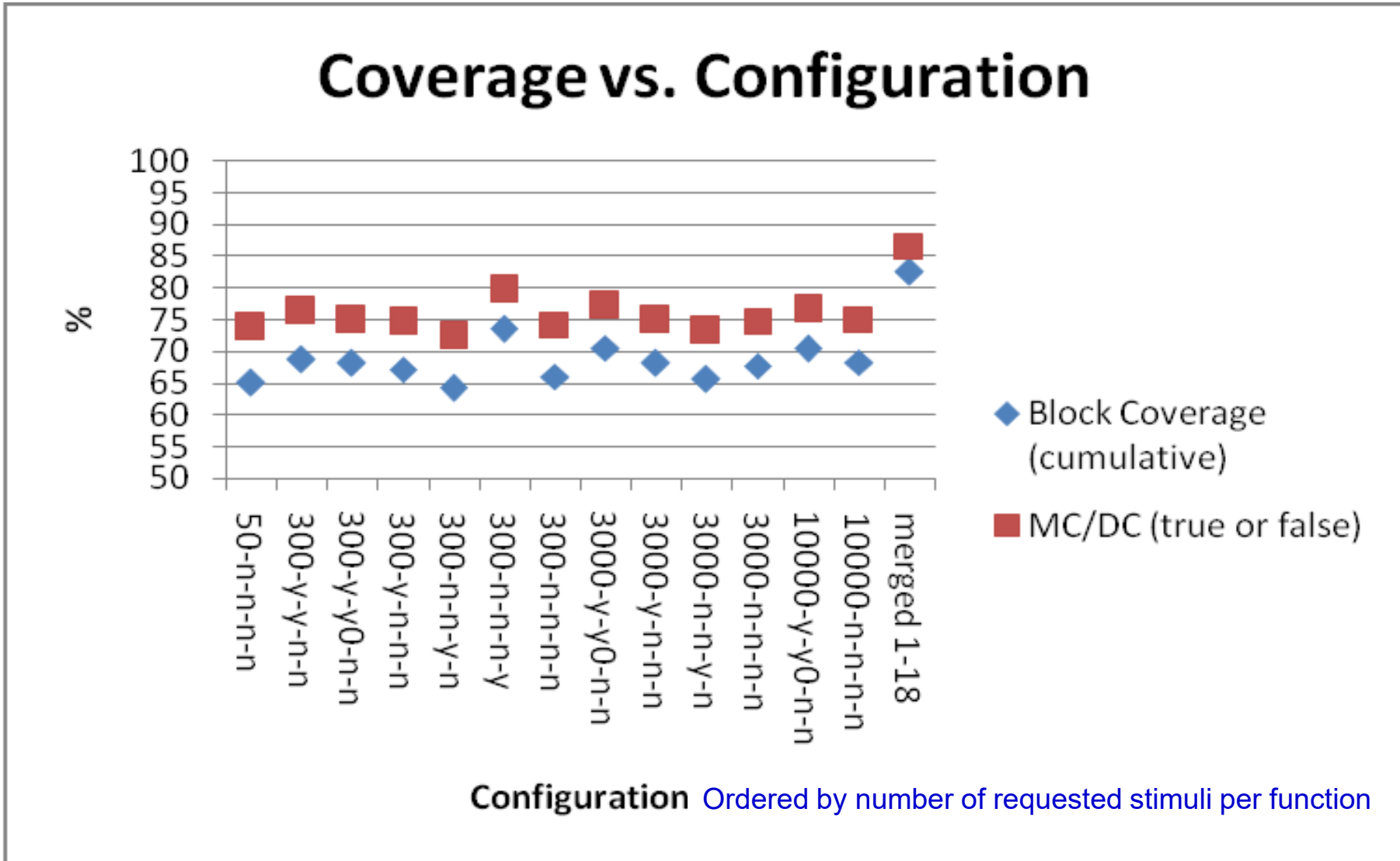
Target:

Pentium4@3GHz

3 GB RAM

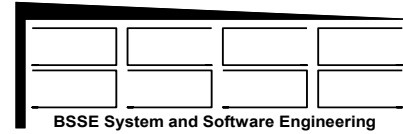
Linux 2.6

Coverage Configuration Dependency





Coverage, Summary



DNV

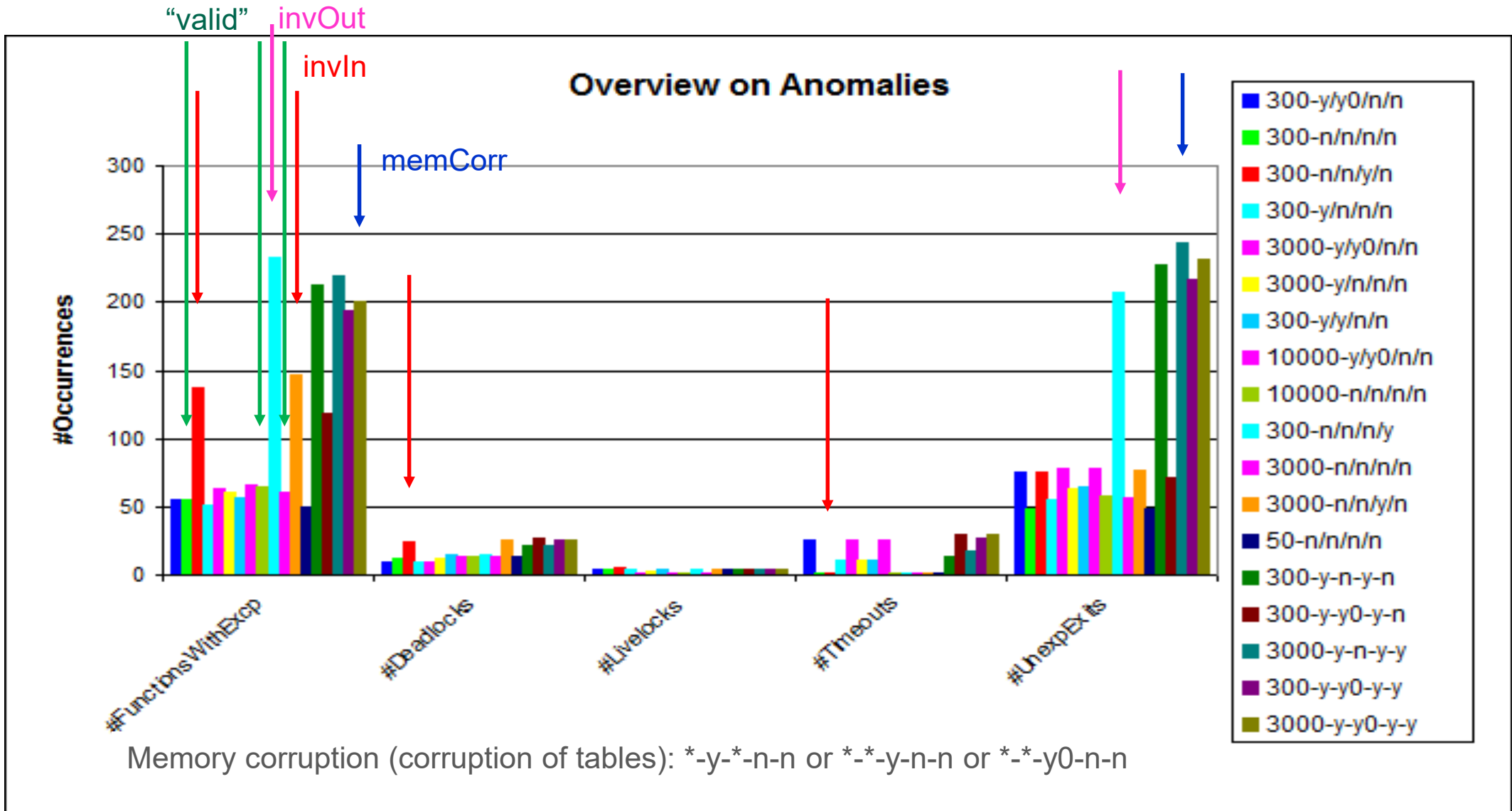
Coverage Type	Coverage / %					Comment
	merged 1 – 13	merged 1-18	merged 14-18	best of 1 – 13	best of 14 – 18	
Block	80.4	82.6	79.4	73.5	77.2	
MC/DC						
true OR false	84.8	86.6	84.7	79.7	82.6	At least one of the boolean values occurred
true AND false	67.8	70.4	62.4	<i>not available</i>	<i>not available</i>	Both boolean values occurred
Configuration				300-n-n- n-y	3000-y- y0-y-y	

Runs 1 – 13 : no combination of fault injection modes “invalid stimuli” and “modified return”

Runs 14 – 18: combination of “invalid stimuli” and “modified return” at varying number of stimuli

Robustness Sensitivity on Invalid Values

This figure shows that invalid input (*-n-n-y-n) and invalid output (*-n-n-n-y) may cause problems. Therefore confirmation is required that such values will not occur during system operations





■ Types of comparison

- ❖ general statistics on host and target execution
- ❖ comparison of output vectors observed – expected on host and target
*expected values should be the confirmed reference vectors
confirmation not a matter in the project*
- ❖ comparison of changes of parameters before and after test on host and target
depending on parameter mode IN, OUT, INOUT, RETURN
- ❖ comparison of results host vs. target

■ How are comparisons performed?

- ❖ comparisons are done automatically
- ❖ built-in code as part of the generated test environment, for every user-defined type
- ❖ results are printed to a log-file
- ❖ evaluation of the log-file contents for the test report
- ❖ diversification of evaluation algorithms to support correctness checks



Host – Target Execution Global Figures

DNV

Subject	Native	Host	Target
#Tests started	1530	1511	1488
#Tests completed	1529	1504	1186
#Tests not launched on target	n/a	n/a	1
#Tests killed on target due to timeout	n/a	n/a	59
#TC generated	4014	n/a	n/a
#TC executed	n/a	3972	3341

not all test drivers could be compiled and linked due to anomalies

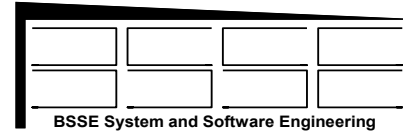
not all test drivers could be compiled and linked for target

not all test drivers could complete



DNV

Host – Target Comparison Input – Output, summary



Platform	#Test Drvs.	#Parameters					#Fully Identical Parameters				
		Total	IN	OUT	INOUT	RET	Total	IN	OUT	INOUT	RET
Host	3972	8336	5815	0	1627	894	6491	5813 99.97%	0	581 35.71%	97 10.85%
Target	3341	6845	4645	0	1482	718	5623	4644 99.98%	0	637 42.98%	342 47.63%



Host – Target Comparison Input – Output, detailed

DNV

File	Function	Test ID	Parameter Name	Parameter Type	#Elements	#TC total	#TC					
							#Identical		#Partly different		#Different	
							H	T	H	T	H	T
..\appl_main.c	Func1	0	Para1	IN	1	1	1	-	0	-	0	-
..\file1.c	Func2	1	Para2	IN	61	2	2	2	0	0	0	0
			Para3	INOUT	1		0	0	0	0	2	2
..\file1.c	Func3	2	Para4	IN	61	6	6	6	0	0	0	0
			Para5	INOUT	1		0	0	0	0	6	6
..\file1.c	Func4	3	Para6	INOUT	39	4	0	0	4	4	0	0
			Para7	INOUT	9		0	0	0	0	4	4
..\file1.c	Func5	4	Para8	INOUT	51	1	0	0	1	1	0	0
..\file1.c	Func6	5	Para9	INOUT	161	1	0	0	0	0	1	1
..\file1.c	Func7	6	Para10	INOUT	61	1	0	0	0	0	1	1
..\file1.c	Func8	7	Para11	INOUT	1	1	0	0	0	0	1	1
..\file1.c	Func9	8	Para12	IN	1	4	4	4	0	0	0	0
..\file1.c	Func10	9	Para13	INOUT	1	1	0	0	0	0	1	1
..\file1.c	Func11	10	Para14	INOUT	36	1	0	0	0	0	1	1
			Para15	INOUT	1		0	0	0	0	1	1

Host – Target Comparison

Expected – Observed, summary

Result Type	Platform	Parameter Mode									
		IN		OUT		INOUT		RETURN		Total	
		#	%	#	%	#	%	#	%	#	%
identical	host	2855751	37.09	0	0.00	1664818	21.62	3173639	41.22	7694208	99.93
	target	145033	44.14	0	0.00	167814	51.07	12635	3.85	325482	99.05
different	host	16	0.00	0	0.00	2051	0.03	3565	0.05	5632	0.07
	target	135	0.04	0	0.00	2755	0.84	221	0.07	3111	0.95
total	host	2855767	37.09	0	0.00	1666869	21.65	3177204	41.26	7699840	100.00
	target	145168	44.18	0	0.00	170569	51.91	12856	3.91	328593	100.00

```
host.lgExecDCR18:175: check_double_DCR18 -0.000000!=0.000000
```

```
diff=1.04569253121074490000e-309
```